

completely unaffected by refluxing in concentrated nitric acid, aniline, *n*-butylamine or dimethylformamide. The infrared spectrum (KBr) had strong CF_2 absorption bands at 1185, 1275 and 1310, a CH_2 band at 1480(m) and bands

at 855(m) and 770(m) cm^{-1} . There was only a trace of absorption in the double bond region (1640 cm^{-1}).

Anal. Calcd. for $(\text{C}_6\text{H}_4\text{F}_6)_2$: C, 37.90; H, 2.12; F, 59.98. Found: C, 37.29; H, 2.41; F, 59.19.

[CONTRIBUTION NO. 2497 FROM THE GATES AND CRELLIN LABORATORIES OF CHEMISTRY, CALIFORNIA INSTITUTE OF TECHNOLOGY, PASADENA, CALIF.]

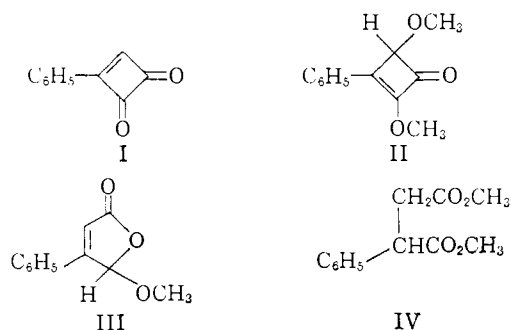
Small-Ring Compounds. XXX. Reactions of Phenylcyclobutadienoquinone with Methanol^{1,2}

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RECEIVED AUGUST 4, 1960

The thermal reaction of phenylcyclobutadienoquinone (I) with methanol gives 2,4-dimethoxy-3-phenylcyclobutenone (II), 3-phenyl-4-hydroxy-4-methoxy-2-butenic acid lactone (III) and dimethyl phenylsuccinate (IV). The relative yields of the three products depend on the reaction temperature. A possible mechanism is presented that accounts for the formation of the three products by way of a common intermediate: 2-hydroxy-2-methoxy-3-phenylcyclobutenone (V). The structures of II and III were established by spectroscopic analysis, chemical degradation and independent synthesis.

Phenylcyclobutadienoquinone^{4,5} (I) reacts thermally with methanol to give three principal products. Evidence will be presented for the formulation of these products as 2,4-dimethoxy-3-phenylcyclobutenone (II), 3-phenyl-4-hydroxy-4-methoxy-2-butenic acid lactone (III) and dimethyl phenylsuccinate (IV).



The relative yields of II-IV were found to depend mainly on the reaction temperature. Thus, when a solution of the quinone I in methanol was maintained at reflux for 12 days in the dark,⁶ the product was the dimethoxyketone II, slightly contaminated with the diester IV. Substantially the same result was obtained when the reaction was carried out in a stainless-steel bomb heated⁷ to 90–135° for 5–12

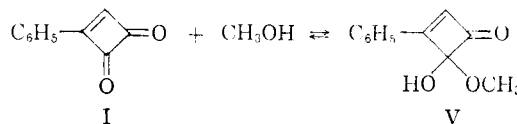
hours with or without acid catalyst. The highest yield (49%) of the dimethoxyketone II was obtained from the acid-catalyzed reaction.

A series of reactions in a stainless-steel bomb at somewhat higher temperatures (*ca.* 150°⁷) for 1 to 2 days gave equimolar mixtures of the methoxylactone III (*ca.* 40% yield) and the diester IV (*ca.* 40% yield); no dimethoxyketone II was detected.

When the bomb was heated in an electric furnace⁸ at 150°, which constitutes the highest reaction temperature used, the predominant product was the diester IV (64% yield), along with a small amount of the methoxylactone III (8% yield). That the diester IV was not formed from the methoxylactone III as precursor was demonstrated by recovering III unchanged after heating with methanol at 145–170° for 24 hours.

The absence of excess pressure in the bomb when it was opened at the completion of each reaction indicated that no appreciable amounts of gaseous products were formed.⁹

Although no detailed mechanistic study was undertaken, it is possible to formulate reasonable reaction paths for the formation of the several products by way of a common intermediate, the hemiketal of I, 2-hydroxy-2-methoxy-3-phenylcyclobutenone (V), which could exist in equilibrium with methanol and the quinone I.



The dimethoxyketone II could arise from V by reaction with methanol of the carbonium ion resulting from the acid-induced loss as water of the hemiketal hydroxyl.

Ring opening of the hemiketal V could occur at higher temperatures to form the stereoisomeric vinylketenes VI and VII.

ways somewhat lower since only the lower part of the bomb was immersed in the bath.

(8) In this case the effective reaction temperature was undoubtedly the same as the furnace temperature.

(9) It has been shown previously¹⁰ that carbon monoxide is evolved when pure I is heated to its melting point.

(1) Supported in part by the National Science Foundation.

(2) Presented before the Organic Section at the Second Delaware Valley Regional Meeting of the American Chemical Society, February 5, 1958, Philadelphia, Penna.

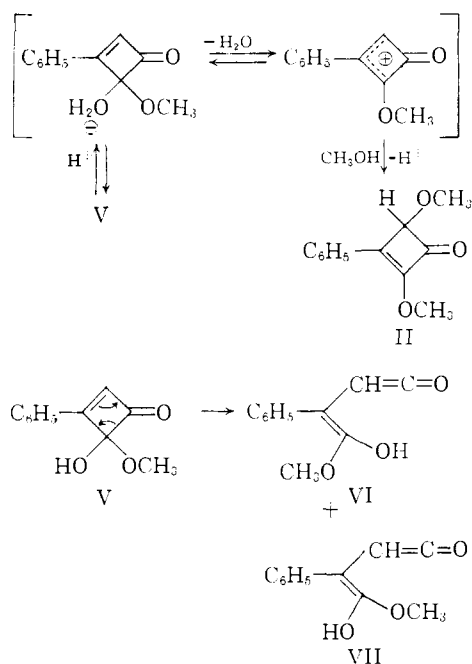
(3) General Electric Co. Predoctoral Fellow, 1956–1957.

(4) E. J. Smutny and J. D. Roberts, *THIS JOURNAL*, **77**, 3420 (1955).

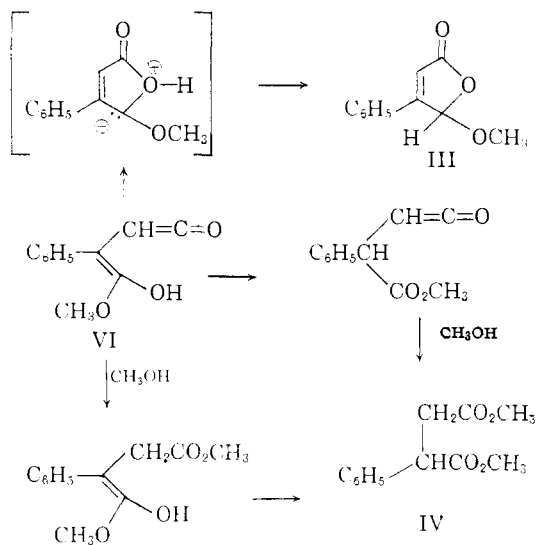
(5) E. J. Smutny, M. C. Caserio and J. D. Roberts, *ibid.*, **82**, 1793 (1960).

(6) A photochemical reaction of I with methanol was discovered that gave 15–25% of dimethyl phenylsuccinate (IV) and 10–30% of a rather unstable white crystalline solid of m.p. 219° dec., which had the elemental composition $\text{C}_{11}\text{H}_{10}\text{O}_3$ and which contained one methoxyl group per molecule. The reaction was typically carried out at reflux temperature with irradiation for 15 min. in a Pyrex flask with a General Electric A-H6 mercury arc. Considerable work was done toward the elucidation of the structure of the compound of composition $\text{C}_{11}\text{H}_{10}\text{O}_3$, but the results are not now correlatable in terms of any single structural formula; cf. F. B. Mallory, Ph.D. Thesis, Calif. Inst. of Technology, 1958.

(7) The temperature of the oil-bath used to heat the bomb is specified; the effective reaction temperatures in this arrangement were al-



Both the methoxylactone III and the diester IV could be formed from VI.

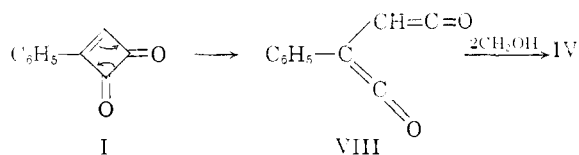


The ketene VII, in which internal cyclization is not sterically possible, would lead only to the diester IV.

The fact that the products III and IV were isolated in equivalent amounts in the intermediate-temperature reactions could be interpreted to indicate that the stereochemical course of the ring opening of V is random, leading to equivalent amounts of the vinylketenes VI and VII, and that the ring closure of VI to give the methoxylactone III is very much faster than the competing reactions of VI, which lead to the diester IV. Alternatively, to avoid the necessity of assuming that VI leads exclusively to III and essentially not at all to IV, it might be considered that the ring opening of the hemiketal V gives mostly VI, and that VI gives predominantly cyclization to III, but some reaction

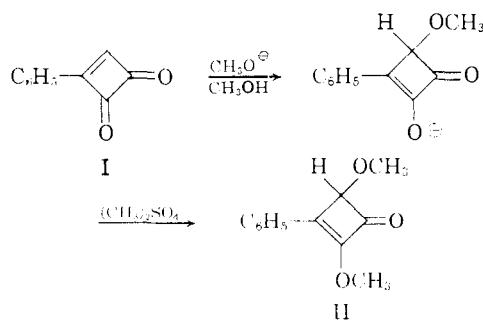
with methanol to give IV. In this interpretation, the amount of the diester IV arising from both VI and VII is viewed as being fortuitously equivalent to the amount of the methoxylactone III arising from VI.

Predominant formation of the diester IV at still higher temperatures may be due to pronounced temperature dependencies on the stereochemistry of the ring-opening reactions of V and/or the ratio of the rates of reaction of VI to form III and IV. Another possibility is a sharply increasing importance with temperature of direct conversion of the quinone I to the diketene VIII, which would react with methanol to afford only the diester IV.



Ring openings of cyclobutenones by way of vinylketenes, such as those postulated above, have been demonstrated in other cases.¹⁰

Establishment of the Structure of 2,4-Dimethoxy-3-phenylcyclobutenone (II).—The low-melting dimethoxyketone II was found to have the molecular formula C₁₂H₁₂O₃ with two methoxyl groups per molecule. Regeneration of the quinone I in good yield from the hydrolysis of the dimethoxyketone II in refluxing, dilute hydrochloric acid indicated that no skeletal change had occurred in the formation of II from I. The proton n.m.r. spectrum of a pure molten sample of II showed four peaks with relative areas of 5:1:3:3, corresponding to five phenyl hydrogens, one tertiary hydrogen and two nonequivalent methoxyl groups. The infrared absorption spectrum of II showed strong absorption bands at 1755 and 1635 cm.⁻¹ characteristic of carbonyl and double-bond stretching frequencies, respectively, in the 3-phenylcyclobutenone series. The dimethoxyketone II was found to be identical with a substance synthesized from the quinone I by successive treatments with sodium methoxide and dimethyl sulfate.¹¹



Establishment of the Structure of 3-Phenyl-4-hydroxy-4-methoxy-2-butenic Acid Lactone (III).—The crystalline methoxylactone III was found to have the molecular formula C₁₁H₁₀O₃ with one methoxyl group per molecule. The proton n.m.r.

(10) (a) E. F. Jenny and J. D. Roberts, *THIS JOURNAL*, **78**, 2005 (1956); (b) E. F. Silversmith, Y. Kitahara, M. C. Caserio and J. D. Roberts, *ibid.*, **80**, 5840 (1958).

(11) L. Skattebøl and J. D. Roberts, *ibid.*, **80**, 4083 (1958).

micro column¹⁴ and afforded 9.5 g. (49%) of the dimethoxyketone II, b.p. 115° (1 mm.), contaminated with the quinone I, and possibly with dimethyl phenylsuccinate (IV), as indicated by infrared spectra.

The purification of II was effected by two additional distillations through a semi-micro column¹⁴ to give a slightly yellow liquid, b.p. 105° (0.5 mm.), $n_{20}^{25}D$ 1.5910. Subsequent crystallization from hexane gave nearly white crystals of m.p. 34–37°. The ultraviolet absorption spectrum of II in cyclohexane solution showed λ_{max} 302 m μ with a shoulder at 314 m μ . The infrared and ultraviolet spectra of this material were identical with the spectra of the compound whose synthesis has been reported earlier,¹¹ which had $n_{20}^{25}D$ 1.5922, m.p. 37–39°. The melting point on admixture of these substances was not depressed.

Reconversion of II to I.—A mixture of 0.85 g. (0.0042 mole) of 2,4-dimethoxy-3-phenylcyclobutenone (II) and 10 ml. of 1 *N* hydrochloric acid was heated under reflux for 15 min.; then 1 ml. of concentrated hydrochloric acid was added and the mixture was maintained at reflux for an additional 8 min. Phenylcyclobutadienoquinone (I) (0.5 g., 75%) was obtained by crystallization from the cooled reaction mixture and identified by its infrared spectrum.

Reaction of I with Methanol. B. At 150°.—In a typical reaction a 1-1. stainless-steel bomb that contained a mixture of 20.0 g. (0.127 mole) of the quinone I and 500 ml. of absolute methanol was heated in an oil-bath⁷ at about 150° for 40 hr. The bomb was allowed to cool and the solvent removed by distillation. The residue was distilled through a semi-micro column.¹⁴

Fraction	Temp., °C.	Press., mm.	Wt., g.
1	114–120	1.1	10.0
2	120–132	1.1	2.0
3	132–135	1.1	8.1
Residue (black tar)			5.5

It was shown by means of infrared spectra that fraction 1 was dimethyl phenylsuccinate (IV), fraction 3 was 3-phenyl-4-hydroxy-4-methoxy-2-butenic acid lactone (III) and fraction 2 was a mixture (approximately 1:1) of III and IV. Thus, the total yields were 11.0 g. (39%) of the diester IV and 9.1 g. (38%) of the methoxylactone III.

The identity of a sample of the dimethyl phenylsuccinate (IV) that had been recrystallized from hexane to give m.p. 57.9–58.5° was established by its failure to depress the melting point of an authentic sample, which was prepared by methods previously described¹⁵ and had m.p. 58.5–59.5° (lit.^{15b} m.p. 57.5–58.5°).

The methoxylactone III was purified by redistillation through a semi-micro column¹⁴ to give a nearly colorless liquid, b.p. 124° (0.5 mm.), which solidified on standing; three recrystallizations from hexane gave a white solid, m.p. 52.5–53.0°. The ultraviolet absorption spectrum in ethanol solution showed λ_{max} 276.5 m μ , ϵ 20,000.

Anal. Calcd. for C₁₁H₁₀O₃: C, 69.46; H, 5.30; CH₂O, 16.32; mol. wt., 190. Found: C, 69.59; H, 5.38; CH₂O, 16.22; mol. wt. (Rast), 186.

Reaction of I with Methanol. C. At 150° in An Electric Furnace.—A 1-1. stainless-steel bomb containing a mixture of 20.0 g. (0.127 mole) of the quinone I and 500 ml. of absolute methanol was shaken and maintained at 150° in an electric furnace for 31 hr. The isolation procedure was as in B above, and there was obtained 18 g. (64%) of dimethyl phenylsuccinate (IV), 2 g. (8%) of the methoxylactone III and 5 g. of tarry residue.

Treatment of 3-Phenyl-4-hydroxy-4-methoxy-2-butenic Acid Lactone (III) with Methanol.—A sealed tube containing a solution of 0.6 g. (0.0032 mole) of the methoxylactone III in 30 ml. of absolute methanol was heated in an oil-bath at 145° for 22 hr., and then at 170° for an additional 2 hr. The solvent was removed by distillation and the residue distilled to give 0.4 g. (67% recovery) of the methoxylactone III, b.p. 135° (1 mm.), identified by its infrared spectrum. No dimethyl phenylsuccinate (IV) was detected.

(14) C. W. Gould, Jr., G. Holzman and C. Niemann, *Anal. Chem.*, **20**, 361 (1948).

(15) (a) "Organic Syntheses," Coll. Vol. I, J. Wiley and Sons, Inc., New York, N. Y., 1941, pp. 181, 451; (b) H. Wren and H. Williams, *J. Chem. Soc.*, **109**, 572 (1916).

Hydrogenation of 3-Phenyl-4-hydroxy-4-methoxy-2-butenic Acid Lactone (III).—The methoxylactone III (2.0 g., 0.01 mole) in 10 ml. of purified anhydrous tetrahydrofuran was shaken with 50 mg. of 10% palladium-on-carbon¹⁶ at a hydrogen pressure of 50 p.s.i. for 49 hr. The catalyst was removed by filtration and the solvent evaporated at reduced pressure. The residue was distilled through a semi-micro column¹⁴ to give 1.8 g. (89%) of 3-phenyl-4-hydroxy-4-methoxybutanoic acid lactone (XII), b.p. 117–120° (1.5 mm.), which solidified on standing. After seven recrystallizations from hexane and one sublimation, this material had m.p. 84.0–84.5°.

Anal. Calcd. for C₁₁H₁₂O₃: C, 68.73; H, 6.29. Found: C, 68.77; H, 6.19.

Clemmensen Reduction of 3-Phenyl-4-hydroxy-4-methoxybutanoic Acid Lactone (XII).—The general method was based on that previously described.¹⁷ From 4.0 g. (0.021 mole) of the dihydromethoxylactone XII, 5.0 g. of amalgamated zinc, 12.5 ml. of 27% hydrochloric acid and 5 ml. of toluene there was obtained 1.6 g. of product, b.p. 76–133° (1 mm.). This material was dissolved in ether and the acidic portion extracted with 5% aqueous sodium bicarbonate. A neutral fraction, amounting to 0.5 g., was not characterized completely. Acidification of the bicarbonate extract with concentrated hydrochloric acid gave 1.0 g. (29%) of a semi-solid material (XIII). The infrared spectrum of XIII in carbon tetrachloride solution showed a broad band at about 2900 cm.⁻¹ characteristic of carboxylic acids; XIII was shown to be β -phenylbutyric acid by preparation of its amide derivative,¹⁸ which had m.p. 104.5–106° after three recrystallizations from benzene-hexane and one recrystallization from ethanol-water. The melting point was not depressed on admixture with authentic β -phenylbutyramide.

Hydrolysis and Silver Oxide Oxidation of 3-Phenyl-4-hydroxy-4-methoxybutanoic Acid Lactone (XII).—A mixture of 3.8 g. of the dihydromethoxylactone XII and 35 ml. of 2 *N* hydrochloric acid contained in a 50-ml. flask fitted with a reflux condenser was magnetically stirred and maintained at reflux for 12 hr. The reaction mixture was extracted with ether, and the ethereal solution was separated into an acid fraction amounting to 2.2 g. and a neutral fraction amounting to 1.1 g. by treatment with 5% aqueous sodium bicarbonate. The neutral fraction was not characterized completely. A 1.9-g. portion of the acid fraction was dissolved in 10 ml. of ethanol, and a small amount of insoluble material was removed by filtration. A solution of 3.0 g. of silver nitrate in 40 ml. of water was added, and the resulting solution was cooled to 0°. A solution of 2.0 g. of potassium hydroxide in 10 ml. of water was added dropwise with swirling. The flask was stoppered, wrapped in a wet towel, and shaken mechanically for 4 hr. The solid was removed by filtration, and the filtrate was acidified with concentrated hydrochloric acid and extracted with ether. The ether was removed from the extract, and the residue was treated with carbon tetrachloride to give 0.7 g. of insoluble material, m.p. 151–158°, identified as phenylsuccinic acid (XIV) by its infrared spectrum in a potassium bromide disk. After six recrystallizations from water, XIV had m.p. 160–162.5°; the melting point was not depressed on admixture with authentic phenylsuccinic acid.^{15a}

Hydrolysis of 3-Phenyl-4-hydroxy-4-methoxy-2-butenic Acid Lactone (III).—In a typical hydrolysis, a mixture of 1.0 g. (0.0053 mole) of the methoxylactone III and 54 ml. of 2 *N* hydrochloric acid was heated under reflux for 1.7 hr. until no insoluble oil remained. 3-Phenyl-4,4-dihydroxy-2-butenic acid lactone (XVI) crystallized from the solution when it was cooled to 0° and had m.p. 157–160°. Purification of XVI by five recrystallizations from benzene gave a white crystalline solid, m.p. 158.0–158.8°. The ultraviolet absorption spectrum of XVI in ethanol solution showed λ_{max} 275.5 m μ , ϵ 19,000.

Anal. Calcd. for C₁₀H₈O₃: C, 68.18; H, 4.58; mol. wt., 176. Found: C, 68.09; H, 4.52; mol. wt. (Rast), 205.

Synthesis of 3-Phenyl-4,4-dihydroxy-2-butenic Acid Lactone (XVI).—A solution of 438 mg. (1.9 mmol.) of 3-phenyl-4,4-dichloro-2-butenic acid (XVIII) and 703 mg.

(16) A. I. Vogel, "A Textbook of Practical Organic Chemistry," 2nd Ed., Longmans, Green and Co., London, 1951, p. 996.

(17) E. L. Martin, *THIS JOURNAL*, **59**, 1438 (1936).

(18) Procedure as used for bicyclooctanecarboxylic acids by J. D. Roberts, W. T. Moreland, Jr., and W. Frazer, *ibid.*, **75**, 637 (1953).

